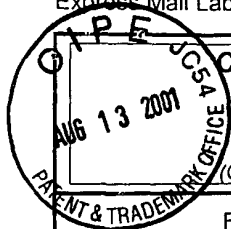


08-15-01

CPA/2814/17


**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL (Large Entity)**

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Docket No.

11675.119.1.1

☐ **DUPLICATE** (Check box if applicable)

First Named Inventor

Examiner

Group/Art Unit

F. Gonzalez

Anh D. Mai

2814

#14/CPA  
8/28/01  
Suresh

Address to:

Assistant Commissioner for Patents

Box CPA

Washington, D.C. 20231

This is a request for filing a ☒ continuation, or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/392,034 filed on September 8, 1999 and entitled:

**METHOD OF FORMING A SELF-ALIGNED ISOLATION TRENCH**

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1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.162 in the prior nonprovisional application.

2. ☒ A preliminary amendment is enclosed.

3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).

a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

*as*

b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4. ☐ A new power of attorney or authorization of agent is enclosed. 08/16/2001 GTEFFERA 00000063 09392034

5. ☐ An Information Disclosure Statement (IDS) is enclosed:

a. <input type="checkbox"/> PTO-1449	01 FC:131	710.00 OP
	02 FC:103	414.00 OP
	03 FC:102	880.00 OP

b. ☐ Copies of IDS Citations

6. ☐ The fee for this application is calculated as follows:

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	43	- 20 =	23	x \$18.00	\$414.00
Indep. Claims	14	- 3 =	11	x \$80.00	\$880.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
TOTAL FILING FEE					\$2,004.00

**CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)**  
**(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))**

7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to  
Deposit Account No.

- ☒ fees required under 37 C.F.R. 1.16.
- ☒ fees required under 37 C.F.R. 1.17.
- ☒ fees required under 37 C.F.R. 1.18.

8. ☐ A check in the amount of \_\_\_\_\_ is enclosed.

9. ☒ Also enclosed:

**PTO-2038 (\$2004.00 + \$110.00 = \$2114.00)**

**One-month time extension petition**

**Postcard**

10. ☒ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address  
is provided below:

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)  
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

**NOTES**

Submit an original, and a duplicate for fee processing.

**FILING QUALIFICATIONS:** The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: August 13, 2001



022901

PATENT TRADEMARK OFFICE

Signature

Jesus Juanos i Timoneda, PhD

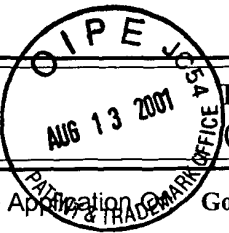
Typed or printed name

43332

Registration Number (if applicable)

- ☐ Inventor(s)  
☐ Assignee of complete interest  
☒ Attorney or agent of record

cc:



**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
11675.119.1.1

In Re Application of **Gonzalez, et al.**

Serial No. 09/392,034	Filing Date September 8, 1999	Examiner Anh D. Mai	Group Art Unit 2814
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Title: **METHOD OF FORMING A SELF-ALIGNED ISOLATION TRENCH**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

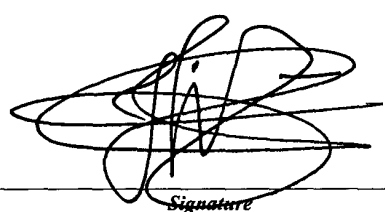
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AUG 17 2001  
TECHNOLOGY CENTER 2800

Transmitted herewith is:

- Transmittal letter (1 page, in dup.)
- CPA (3 pages); PTO-2038
- Amendment "C" (57 pages), with a 1-month time extension petition
- Certificate of US Express Mail # EL813863528US
- Postcard

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of **SEE PTO-2038** is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **23-3178** as described below. A duplicate copy of this sheet is enclosed.
  - ☐ Charge the amount of
  - ☒ Credit any overpayment.
  - ☒ Charge any additional fee required.

  
Signature

Dated: **August 13, 2001**

**Jesus Juanos i Timoneda, PhD**  
**Attorney for Applicants**  
**Reg. No. 43332**



**022901**

PATENT TRADEMARK OFFICE

CC:

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
Signature of Person Mailing Correspondence
Typed or Printed Name of Person Mailing Correspondence

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Gonzalez, et al.

Docket No.

11675.119.1.1

Serial No.

09/392,034

Filing Date

Sept. 8, 1999

Examiner

Anh D. Mai

Group Art Unit

2814

Invention: Method of Forming Self-Aligned Isolation Trench

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AUG 17 2001  
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I hereby certify that this Correspondence as listed below  
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
August 13, 2001  
(Date)

**022901**

PATENT TRADEMARK OFFICE

Jesus Juanos i Timoneda

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)EL813863528US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

TRANSMITTAL LETTER (1 pg. dup.); CPA (3 pgs.);  
1-MONTH TIME EXTENSION PETITION;  
AMENDMENT "C" (57 pages); PTO-2038;  
CERTIFICATE OF US EXPRESS MAIL; POSTCARD

EXPRESS MAIL LABEL NO. EL813863528US

PATENT APPLICATION  
Docket No: 11675.119.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
Gonzalez, *et al.* )  
Serial No. 09/392,034 )  
Filed: September 8, 1999 ) Art Unit  
For: METHOD OF FORMING A SELF- ) 2814  
ALIGNED ISOLATION TRENCH )  
Examiner: Anh D. Mai )

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AUG 17 2001  
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ATTACHMENT TO AMENDMENT "C" AND CPA

Copy of Notice of Recordation of Assignment Document, Assignment Recordation Cover  
Sheet, and Assignment, reel/frame 8488/0262

EXPRESS MAIL LABEL NO. EL813863528US

PATENT APPLICATION  
Docket No: 11675.119.1.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Gonzalez, *et al.*

Serial No.

09/392,034

Filed:

September 8, 1999

For:

METHOD OF FORMING A SELF-  
ALIGNED ISOLATION TRENCH

Examiner:

Anh D. Mai

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AMENDMENT "C" AND RESPONSE

Box CPA  
The Assistant Commissioner of Patents  
and Trademarks  
Washington, D. C. 20231

Sir:

In response to the final Office Action dated April 11, 2001 (hereinafter the "Office Action"), Applicants submit this Amendment "C" and response with the accompanying Continued Prosecution Application (CPA). Please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend the claims so that the following list is a clean version of the pending claims.

Clean Version of the Pending Claims Under 37 C.F.R. § 1.121(c)(3):